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Jose L. Enciso

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EXAMINER

SMITH, JOSHUA Y

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/728,681	<b>Applicant(s)</b> ENCISO ET AL.	
	<b>Examiner</b> JOSHUA SMITH	<b>Art Unit</b> 2419	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 May 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-36 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

- **Claims 1-36 are pending.**
- **Claims 1-36 stand rejected.**

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

**Claims 1, 3, 4, 8, 12, 14, 15, 18, 22, 26, 30 and 33** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry et al. (Patent No.: US 6,175,552 B1) in view of Gavrilovich (Patent No.: US 7,221,904 B1) and Heuer et al. (Patent No.: US 6,717,953 B1), hereafter referred to as Parry, Gavrilovich, and Heuer, respectively.

**As for Claim 1**, Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21)

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serving respective ports, and, in column 3, lines 47-54, and in FIG. 2, a dormant master multiplexer (item 21b) is configured as a dormant master multiplexer is coupled to a disaster recovery interface point via a fibre link 26 (FIG. 2) (substantively the same as “a first gateway network element to terminate a synchronous data transmission ring” and “to provide a communication path for signals between a synchronous data transmission ring and network locations external to a synchronous data transmission ring” in the instant invention).

Parry shows in lines 20-21, column 3, and in Fig. 1, Sheet 1 of 7, an arrangement that comprises a number of the same rings, each of which incorporate the multiplexers (see item 21, Fig. 2, Sheet 2 of 7) serving respective ports, and in column 4, lines 19-21, and in FIG. 2, in each synchronous ring, a dormant master multiplexer monitors ring traffic (substantively the same as “a second gateway network element to terminate an additional synchronous data transmission ring” and “to provide a communication path for signals between an additional synchronous data transmission ring and network locations external to an additional data transmission ring” in the instant invention).

Parry shows in lines 22-23, column 3, and in Fig. 1, Sheet 1 of 7, the number of rings are interconnected via a switch (item 12) (substantively the same as “a central switching core to directly interconnect the first and second gateway network elements” in the instant invention).

Parry shows in lines 38-40, column 3, and in Fig. 2, Sheet 2 of 7, that each ring operates under the control of a master multiplexer (see item 21a) (management element) disposed at the switch. Parry also shows in lines 9-17, 21-23, column 5, and

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in Fig. 6, Sheet 6 of 7, “the network management system, indicated schematically as 62”, which “connects all of the master multiplexers 21 in the system” and instructs the remote switches (see items 12) (gateway network elements) that also control ring configurations to reconfigure appropriately (substantively the same as “a management element (master multiplexer) to interconnect the first and second gateway network elements (remote switches) with a central management system (network management system)” and “a management element (master multiplexer) to ... communicate with the first and second gateway network elements (remote switches) and the central management system (network management system)” and “a central management system (network management system) to provide management signals to ... the synchronous data transmission rings (gateway network elements that control ring configurations)” in the instant invention).

Parry fails to teach a network element including a gateway ADM (Add/Drop Multiplexer), to provide a communication path for signals between a synchronous data transmission ring and network locations external to a synchronous data transmission ring, and to provide a communication path for signals between an additional synchronous data transmission ring and network locations external to an additional synchronous data transmission ring, separate networks operating according to different protocols, and a system that directs traffic flow, and natively communicating with network elements in their respective protocols.

Gavrilovich teaches in column 6, lines 6-16, and in FIG. 1, fiber optic rings 55, 75 (FIG. 1), are continuous rings with an add/drop multiplexer for each ring in a gateway

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office, and where the primary function of a gateway office is to provide an interface to a wired telephone network (a network element including a gateway ADM (Add/Drop Multiplexer), to provide a communication path for signals between a synchronous data transmission ring and network locations external to a synchronous data transmission ring, and to provide a communication path for signals between an additional synchronous data transmission ring and network locations external to an additional synchronous data transmission ring). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Gavrilovich with the invention of Parry since Gavrilovich provides a system of connecting optical rings to an outside wired telephone network through a gateway office implementing an add/drop multiplexer, and this can provide a dormant master multiplexer in the system of Parry with the ability to connect an SDH ring to a telephone network that is less prone to failures that can completely halt communications, and can also provide the necessary add/drop multiplexer and gateway capabilities for appropriate protocol conversion to maintain communications through a telephone network.

Heuer teaches in column 3, lines 48-65, and in column 4, line 64 to column 5, line 3, and in column 5, lines 32-64, and in column 6, lines 5-12, and in column 7, lines 29-31, and in FIG. 1, FIG. 3, and FIG. 8, an SDH system 11 (FIG. 1) is connected via an STM-1 link 12 (FIG. 1) with a SONET system 13 (FIG. 1), and to perform a monitoring functions according to only one of the two multiplex hierarchies and simulate additional hierarchy levels of the other multiplex hierarchy, the multiplex level that is missing in SONET in comparison with the SDH multiplex hierarchy (multiplex level AU-4, i.e., a

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VC-4 with associated pointer, see FIG. 8) is simulated, and where conversion and monitoring functions can be implemented in software in a processor-controlled facility (separate networks operating according to different protocols, and a system that directs traffic flow, and natively communicating with network elements in their respective protocols). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Heuer with the invention of Parry since Heuer provides a facility for converting a communication signal of a first synchronous digital communication system to a communications signal of a second communication system which requires only one controller for performing monitoring functions, which can be introduced into the system of Parry to allow SONET network systems and SONET rings to coexist with SDH network systems and SDH rings and to allow data to be forwarded through both types of systems and rings, allowing users of both technologies to communicate together and increasing the flexibility of the system of Parry.

**As for Claim 3**, Parry in view of Gavrilovich and Heuer as applied to Claim 1 teaches all those limitations. Parry further teaches in lines 20-21, column 3, of a SDH network layout comprising a number of rings (substantively the same as “a Synchronous Digital Hierarchy (SDH) ring” in the instant invention).

**As for Claim 4**, Parry in view of Gavrilovich and Heuer as applied to Claim 1 teaches all those limitations. Parry further teaches in lines 20-21, column 3, of a

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SONET network layout comprising a number of rings (substantively the same as “a Synchronous Optical Network (SONET) ring” in the instant invention).

**As for Claim 8** Parry in view of Gavrilovich and Heuer as applied to Claim 1 teach all those limitations.

Parry fails to teach a network element that employs a management communication channel that is incompatible with the central management system.

Heuer shows in FIG. 1, Sheet 1 of 3, an SDH system (item 11) connected with a SONET system (item 13). Lines 19-24, 49, 65-67, column 4, of Heuer teach that the SDH management system (see item 28, FIG. 1, Sheet 1 of 3) can manage both the SDH and SONET rings concurrently, but that the SONET signals of the SONET ring must be converted since SONET signals are not usable by the SDH management system (substantively the same as “least one of the first and the second gateway network elements employing a management communication channel that is incompatible with the central management system” in the instant invention).

Heuer teaches in lines 45-47, column 4, and in FIG. 2, Sheet 1 of 3, the conversion must be performed in either multiplexer (see items 28 and 29) (substantively the same as “the management element interconnects the first and the second gateway network elements to the central management system ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the facility of Heuer into the network of Parry since the conversion of SONET signals into an SDH signals will allow SONET networks to be connected to a SDH network of Parry and



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be managed by the SDH network management system and participate in the disaster recovery.

**As for Claim 12**, Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21) serving respective ports, and, in column 3, lines 47-54, and in FIG. 2, a dormant master multiplexer (item 21b) is configured as a dormant master multiplexer is coupled to a disaster recovery interface point via a fibre link 26 (FIG. 2) (substantively the same as “terminating ... synchronous data transmission ring on associated gateway network elements” and “providing a communication path for signals between a synchronous data transmission ring and network locations external to a synchronous data transmission ring” in the instant invention).

Parry shows in lines 22-23, column 3, and in Fig. 1, Sheet 1 of 7, the number of rings are interconnected via a switch (item 12) (substantively the same as “directly interconnecting gateway network elements through a central switching core that connects network elements that terminate synchronous data transmission rings” in the instant invention).

Parry shows in lines 38-40, column 3, and in Fig. 2, Sheet 2 of 7, that each ring operates under the control of a master multiplexer (see item 21a) disposed at the switch. Parry also shows in lines 9-17, 21-23, column 5, and in Fig. 6, Sheet 6 of 7, “the network management system, indicated schematically as 62”, which “connects all of the master multiplexers 21 in the system” and instructs the remote switches (see items 12)

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that also control ring configurations to reconfigure appropriately (substantively the same as “interconnecting the gateway network elements to a central management system with a local management element” in the instant invention).

Parry fails to teach associated gateway network elements each include a gateway ADM (Add/Drop Multiplexer) for a switching center that interconnects multiple networks and providing a communication path for signals between data transmission rings and network locations external to data transmission rings, simultaneously supports TDM- (time-division multiplexing) and packet-based traffic, separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols.

Gavrilovich teaches in column 6, lines 6-16, and in FIG. 1, fiber optic rings 55, 75 (FIG. 1), are continuous rings with an add/drop multiplexer for each ring in a gateway office, and where the primary function of a gateway office is to provide an interface to a wired telephone network (associated gateway network elements each include a gateway ADM (Add/Drop Multiplexer) for a switching center that interconnects multiple networks and providing a communication path for signals between data transmission rings and network locations external to data transmission rings).

Gavrilovich teaches in column 6, lines 58-65, and in FIG. 1, radio interface between moving base stations 30, 40 (FIG. 1) and fixed radio ports 50 (FIG. 1) is time division multi-plexed, direct-sequence, spread-spectrum, code-division-multiple-access interface (TDM/CDMA), where multiple channels between a base station and fixed radio ports are time division multiplexed as time slots in a data stream (simultaneously

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supports TDM- (time-division multiplexing) and packet-based traffic). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Gavrilovich with the invention of Parry since Gavrilovich provides a system of connecting optical rings to an outside wired telephone network through a gateway office implementing an add/drop multiplexer, and this can provide a dormant master multiplexer in the system of Parry with the ability to connect an SDH ring to a telephone network that is less prone to failures that can completely halt communications, and can also provide the necessary add/drop multiplexer and gateway capabilities for appropriate protocol conversion to maintain communications through a telephone network.

Heuer teaches in column 3, lines 48-65, and in column 4, line 64 to column 5, line 3, and in column 5, lines 32-64, and in column 6, lines 5-12, and in column 7, lines 29-31, and in FIG. 1, FIG. 3, and FIG. 8, an SDH system 11 (FIG. 1) is connected via an STM-1 link 12 (FIG. 1) with a SONET system 13 (FIG. 1), and to perform a monitoring functions according to only one of the two multiplex hierarchies and simulate additional hierarchy levels of the other multiplex hierarchy, the multiplex level that is missing in SONET in comparison with the SDH multiplex hierarchy (multiplex level AU-4, i.e., a VC-4 with associated pointer, see FIG. 8) is simulated, and where conversion and monitoring functions can be implemented in software in a processor-controlled facility (separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols). It would have been obvious to one of ordinary skill in the art at the time of the invention to

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combine the invention of Heuer with the invention of Parry since Heuer provides a facility for converting a communication signal of a first synchronous digital communication system to a communications signal of a second communication system which requires only one controller for performing monitoring functions, which can be introduced into the system of Parry to allow SONET network systems and SONET rings to coexist with SDH network systems and SDH rings and to allow data to be forwarded through both types of systems and rings, allowing users of both technologies to communicate together and increasing the flexibility of the system of Parry.

**As for Claim 14**, Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21) serving respective ports (substantively the same as “terminating the synchronous data transmission rings” in the instant invention). As discussed above with respect to Claim 3, Parry further teaches in lines 20-21, column 3, of a SDH network layout comprising a number of rings (substantively the same as “a Synchronous Digital Hierarchy (SDH) ring” in the instant invention).

**As for Claim 15**, Parry as applied to Claim 14 teaches the limitation “terminating the synchronous data transmission rings”. As discussed above with respect to Claim 4, Parry further teaches in lines 20-21, column 3, of a SONET network layout comprising a number of rings (substantively the same as “a Synchronous Optical Network (SONET) ring” in the instant invention).

**As for Claim 18**, Parry in view of Gavrilovich and Heuer as applied to Claim 12 teach all those limitations.

Parry fails to teach a network element that employs a management communication channel that is incompatible with the central management system.

Heuer shows in FIG. 1, Sheet 1 of 3, an SDH system (item 11) connected with a SONET system (item 13). Lines 19-24, 49, 65-67, column 4, of Heuer teach that the SDH management system (see item 28, FIG. 1, Sheet 1 of 3) can manage both the SDH and SONET rings concurrently, but that the SONET signals of the SONET ring must be converted since SONET signals are not usable by the SDH management system (substantively the same as “least one of the first and the second gateway network elements employing a management communication channel that is incompatible with the central management system” in the instant invention).

Heuer teaches in lines 45-47, column 4, and in FIG. 2, Sheet 1 of 3, the conversion must be performed in either multiplexer (see items 28 and 29) (substantively the same as “the management element interconnects the first and the second gateway network elements to the central management system ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the facility of Heuer into the network of Parry since the conversion of SONET signals into an SDH signals will allow SONET networks to be connected to a SDH network of Parry and be managed by the SDH network management system and participate in the disaster recovery.

**As for Claim 22**, Parry shows in lines 22-23, column 3, and in Fig. 1, Sheet 1 of 7, the number of rings are interconnected via a switch (item 12) (substantively the same as “a central switching core to directly interconnect” in the instant invention).

Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21) serving respective ports, and, in lines 20-21, column 3, and in Fig. 1, Sheet 1 of 7, an arrangement that comprises a number of the same rings, each of which incorporate the multiplexers (see item 21, Fig. 2, Sheet 2 of 7) serving respective ports (substantively the same as “a synchronous data transmission ring terminated on a first gateway network element with an additional synchronous data transmission ring terminated on a second gateway network element” in the instant invention).

Parry shows in lines 38-40, column 3, and in Fig. 2, Sheet 2 of 7, that each ring operates under the control of a master multiplexer (see item 21a) disposed at the switch. Parry also shows in lines 9-10, 21-23, column 5, and in Fig. 6, Sheet 6 of 7, “the network management system, indicated schematically as 62”, which “connects all of the master multiplexers 21 in the system” and “instructs the remote switches 12 to reconfigure appropriately” (substantively the same as “a local management element to interconnect the integrated switch with a central management system” in the instant invention).

Parry fails to teach network element includes a gateway ADM (Add/Drop Multiplexer) to provide a communication path for signals between data transmission

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rings and network locations external to data transmission rings, separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols.

Gavrilovich teaches in column 6, lines 6-16, and in FIG. 1, fiber optic rings 55, 75 (FIG. 1), are continuous rings with an add/drop multiplexer for each ring in a gateway office, and where the primary function of a gateway office is to provide an interface to a wired telephone network (network element includes a gateway ADM (Add/Drop Multiplexer) to provide a communication path for signals between data transmission rings and network locations external to data transmission rings). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Gavrilovich with the invention of Parry since Gavrilovich provides a system of connecting optical rings to an outside wired telephone network through a gateway office implementing an add/drop multiplexer, and this can provide a dormant master multiplexer in the system of Parry with the ability to connect an SDH ring to a telephone network that is less prone to failures that can completely halt communications, and can also provide the necessary add/drop multiplexer and gateway capabilities for appropriate protocol conversion to maintain communications through a telephone network.

Heuer teaches in column 3, lines 48-65, and in column 4, line 64 to column 5, line 3, and in column 5, lines 32-64, and in column 6, lines 5-12, and in column 7, lines 29-31, and in FIG. 1, FIG. 3, and FIG. 8, an SDH system 11 (FIG. 1) is connected via an STM-1 link 12 (FIG. 1) with a SONET system 13 (FIG. 1), and to perform a monitoring

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functions according to only one of the two multiplex hierarchies and simulate additional hierarchy levels of the other multiplex hierarchy, the multiplex level that is missing in SONET in comparison with the SDH multiplex hierarchy (multiplex level AU-4, i.e., a VC-4 with associated pointer, see FIG. 8) is simulated, and where conversion and monitoring functions can be implemented in software in a processor-controlled facility (separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Heuer with the invention of Parry since Heuer provides a facility for converting a communication signal of a first synchronous digital communication system to a communications signal of a second communication system which requires only one controller for performing monitoring functions, which can be introduced into the system of Parry to allow SONET network systems and SONET rings to coexist with SDH network systems and SDH rings and to allow data to be forwarded through both types of systems and rings, allowing users of both technologies to communicate together and increasing the flexibility of the system of Parry.

**As for Claim 26**, Parry in view of Gavrilovich and Heuer as applied to Claim 22 teach all those limitations.

Parry fails to teach a network element that employs a management communication channel that is incompatible with the central management system.



Heuer shows in FIG. 1, Sheet 1 of 3, an SDH system (item 11) connected with a SONET system (item 13). Lines 19-24, 49, 65-67, column 4, of Heuer teach that the SDH management system (see item 28, FIG. 1, Sheet 1 of 3) can manage both the SDH and SONET rings concurrently, but that the SONET signals of the SONET ring must be converted since SONET signals are not usable by the SDH management system (substantively the same as “least one of the first and the second gateway network elements employing a management communication channel that is incompatible with the central management system” in the instant invention).

Heuer teaches in lines 45-47, column 4, and in FIG. 2, Sheet 1 of 3, the conversion must be performed in either multiplexer (see items 28 and 29) (substantively the same as “the management element interconnects the first and the second gateway network elements to the central management system ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the facility of Heuer into the network of Parry since the conversion of SONET signals into an SDH signals will allow SONET networks to be connected to a SDH network of Parry and be managed by the SDH network management system and participate in the disaster recovery.

**As for Claim 30**, Parry shows in lines 22-23, column 3, and in Fig. 1, Sheet 1 of 7, the number of rings are interconnected via a switch (item 12) (substantively the same as “directly interconnecting” in the instant invention).

Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21) serving respective ports, and, in lines 20-21, column 3, and in Fig. 1, Sheet 1 of 7, an arrangement that comprises a number of the same rings, each of which incorporate the multiplexers (see item 21, Fig. 2, Sheet 2 of 7) serving respective ports (substantively the same as “a synchronous data transmission ring terminated on a first gateway network element” and “an additional synchronous data transmission ring terminated on a second gateway network element” in the instant invention).

Parry shows in lines 38-40, column 3, and in Fig. 2, Sheet 2 of 7, that each ring operates under the control of a master multiplexer (see item 21a) disposed at the switch. Parry also shows in lines 9-10, 21-23, column 5, and in Fig. 6, Sheet 6 of 7, “the network management system, indicated schematically as 62”, which “connects all of the master multiplexers 21 in the system” and “instructs the remote switches 12 to reconfigure appropriately” (substantively the same as “maintaining a gateway management communication channel between a central management system and the first and second gateway network elements” in the instant invention).

Parry fails to teach network element includes a gateway ADM (Add/Drop Multiplexer) to provide a communication path for signals between data transmission rings and network locations external to data transmission rings, separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols.

Gavrilovich teaches in column 6, lines 6-16, and in FIG. 1, fiber optic rings 55, 75 (FIG. 1), are continuous rings with an add/drop multiplexer for each ring in a gateway office, and where the primary function of a gateway office is to provide an interface to a wired telephone network (network element includes a gateway ADM (Add/Drop Multiplexer) to provide a communication path for signals between data transmission rings and network locations external to data transmission rings). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Gavrilovich with the invention of Parry since Gavrilovich provides a system of connecting optical rings to an outside wired telephone network through a gateway office implementing an add/drop multiplexer, and this can provide a dormant master multiplexer in the system of Parry with the ability to connect an SDH ring to a telephone network that is less prone to failures that can completely halt communications, and can also provide the necessary add/drop multiplexer and gateway capabilities for appropriate protocol conversion to maintain communications through a telephone network.

Heuer teaches in column 3, lines 48-65, and in column 4, line 64 to column 5, line 3, and in column 5, lines 32-64, and in column 6, lines 5-12, and in column 7, lines 29-31, and in FIG. 1, FIG. 3, and FIG. 8, an SDH system 11 (FIG. 1) is connected via an STM-1 link 12 (FIG. 1) with a SONET system 13 (FIG. 1), and to perform a monitoring functions according to only one of the two multiplex hierarchies and simulate additional hierarchy levels of the other multiplex hierarchy, the multiplex level that is missing in SONET in comparison with the SDH multiplex hierarchy (multiplex level AU-4, i.e., a

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VC-4 with associated pointer, see FIG. 8) is simulated, and where conversion and monitoring functions can be implemented in software in a processor-controlled facility (separate networks operating according to different protocols, and a system that directs traffic flow, and communicating with network elements in their respective protocols). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the invention of Heuer with the invention of Parry since Heuer provides a facility for converting a communication signal of a first synchronous digital communication system to a communications signal of a second communication system which requires only one controller for performing monitoring functions, which can be introduced into the system of Parry to allow SONET network systems and SONET rings to coexist with SDH network systems and SDH rings and to allow data to be forwarded through both types of systems and rings, allowing users of both technologies to communicate together and increasing the flexibility of the system of Parry.

**As for Claim 33**, Parry in view of Gavrilovich and Heuer as applied to Claims 8 and 12 teach all those limitations.

Parry fails to teach natively supporting of the incompatible management channels.

Heuer further teaches in lines 56-61, column 3, and FIG. 1, Sheet 1 of 3, that SDH and SONET systems can be connected by a STM-1 link, and, despite differences that make them incompatible and require conversion for management purposes (see Heuer, lines 19-24, column 4), the frame formats of the two systems are identical and

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both can operate on the STM-1 link (substantively the same as “natively supporting multiple incompatible management communication channels” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the facility of Heuer into the network of Parry since the conversion of SONET signals into an SDH signals will allow SONET networks to be connected to a SDH network of Parry and be managed by the SDH network management system and participate in the disaster recovery.

**Claims 2, 13, 23 and 31** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Douglas (Patent Number: 5,097,469), hereafter referred to as Douglas.

**As for Claims 2, 23 and 31**, Parry in view of Gavrilovich and Heuer as applied to Claims 1, 22 and 30 teaches all those limitations.

Parry fails to teach network elements manufactured by different vendors.

Douglas teaches in lines 50-51, column 2, that a data communications network may have equipment manufactured by different suppliers (substantively the same as “network elements manufactured by different vendors” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the passive monitor of Douglas into the disaster recovery system of Parry since passive monitoring of traffic can provide information to aid in rerouting data traffic efficiently in the event of a switch failure and to monitor the health of the network after such a failure without introducing probe packets or similar traffic into the network.

**As for Claim 13**, as discussed above with respect to Claim 1, Parry shows in lines 29-30, column 3, in the abstract, and in Fig. 2, Sheet 2 of 7, a synchronous ring that incorporates a number of multiplexers (item 21) serving respective ports (substantively the same as “terminating the synchronous data transmission rings comprises terminating the synchronous transmission rings with network elements” in the instant invention).

Parry fails to teach network elements manufactured by different vendors.

Douglas teaches in lines 50-51 that a data communications network may have equipment manufactured by different suppliers (substantively the same as “network elements manufactured by different vendors ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the passive monitor of Douglas into the disaster recovery system of Parry since passive monitoring of traffic can provide information to aid in rerouting data traffic efficiently in the event of a switch failure and to monitor the health of the network after such a failure without introducing probe packets or similar traffic into the network.

**Claims 5, 6, 16, 17, 24 and 32** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Chen et al. (Patent No.: US 7,130,276 B2), hereafter referred to as Chen.

**As for Claim 5**, Parry as applied to Claim 1 teaches all those limitations.

Parry fails to teach a packet-based switching fabric overlaid with a synchronous frame structure.

Chen teaches in lines 39-40, column 2, of a “switching fabric of a network switch”, and, in lines 40-43, column 3, and in FIG. 1, Sheet 1 of 11, Chen shows a cell/packet switching engine (see item 140) providing switching at the cell/packet level, and its resulting data is sent to the ATM/POS framer (see item 150) for framing in the appropriate format (substantively the same as “the central switching core includes a packet-based switching fabric overlaid with a synchronous frame structure” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the network switch of Chen into the telecommunications network of Parry since the network switch works with synchronous technology and allows switching of not only SONET and TDM data, but also ATM and IP data, increasing the flexibility and capabilities of the telecommunications network.

**As for Claims 6, 24 and 32**, Parry shows in lines 19-26, column 3, and FIG. 1, Sheet 1 of 7, that the rings of the arrangement are interconnected via the switch (item 12), and the switch forms network node which is coupled to further network switches to transport traffic between nodes, where this will cause traffic from individual rings to be funneled to other rings and nodes, causing traffic from each individual ring to be a tributary when combined with traffic from other individual rings (substantively the same as “the central switching core comprises a switching platform to switch a traffic stream

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tributary across the one and the additional synchronous data transmission rings” in the instant invention).

**As for Claims 16 and 17**, Parry as applied to Claim 12 teaches those limitations.

Parry fails to teach a packet-based switching fabric overlaid with a synchronous frame structure.

Chen teaches in lines 39-40, column 2, of a “switching fabric of a network switch”, and, in lines 40-43, column 3, and in FIG. 1, Sheet 1 of 11, Chen shows a cell/packet switching engine (see item 140) providing switching at the cell/packet level, and its resulting data is sent to the ATM/POS framer (see item 150) for framing in the appropriate format (substantively the same as “the central switching core includes a packet-based switching fabric overlaid with a synchronous frame structure” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the network switch of Chen into the telecommunications network of Parry since the network switch works with synchronous technology and allows switching of not only SONET and TDM data, but also ATM and IP data, increasing the flexibility and capabilities of the telecommunications network.

**Claims 7 and 25** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, Chen, and further in view of Roy et al. (Patent No.: US 6,631,130 B1), hereafter referred to as Roy.



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**As for Claims 7 and 25**, Parry in view of Gavrilovich, Heuer, Chen as applied to Claims 1 and 5 teach all those limitations.

Parry fails to teach a PDU traffic stream termination card comprising a gateway element, a TDM termination card comprising the other gateway element, or a switch that switches both stream types.

Roy teaches in lines 65-67, column 2, and lines 1-3, column 3, a network switch that has at least one interface for TDM traffic and at least one interface for ATM and packet traffic, implicitly teaching that the network switch can be connected to a TDM network device and an IP network device (where the PDUs of IP are packets), and the network switch can switch both types of traffic (substantively the same as “the first gateway network element comprises a Protocol Data Unit (PDU) traffic stream termination card and the second gateway network element comprises a Time-Division Multiplex (TDM) traffic stream termination card, and the central switching core switches both streams” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the switch of Roy into the network of Parry and Chen since the switch has interfaces for both SONET and ATM/packet traffic, and such capabilities will allow the switch to connect to ATM, packet, and TDM devices and networks, allowing the network to be scalable with these other technologies and accept these traffic types.

**Claims 9 and 19** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Houston et al. (Patent No.: US 6,778,541 B2), hereafter referred to as Houston.

**As for Claim 9**, Parry in view of Gavrilovich and Heuer as applied to Claim 8 teach all those limitations.

Parry fails to teach where one network element employs an IP stack and a second network element employs an OSI stack.

Houston shows in Fig. 1, Sheet 1 of 9, an IP router (see item 24) which employs an IP stack like that shown as item IP STACK 34, Fig. 3, Sheet 3 of 9 (substantively the same as “the first gateway network element employs an Internet Protocol (IP) stack” in the instant invention).

Houston also shows in Fig. 1, Sheet 1 of 9, a network element item 12, that employs an OSI stack like that shown as item OSI STACK 32, Fig. 3, Sheet 3 of 9 (substantively the same as “the second gateway network element employs an an Open System Interconnection (OSI) stack in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the network element of Houston into the network of Parry and Heuer since Houston provides tunneling between SDH and IP networks, allowing the network of Parry to accept data from outside IP networks.

**As for Claim 19**, Parry in view of Gavrilovich, Heuer, and Heuer as applied to Claims 8 and 12 teach all those limitations.

Parry fails to teach an IP network element and an OSI management system.

Houston shows in Fig. 1, Sheet 1 of 9, an IP router (see item 24) which employs an IP stack like that shown as item IP STACK 34, Fig. 3, Sheet 3 of 9 (substantively the same as “a network element that employs an Internet Protocol (IP) stack” in the instant invention).

Houston also shows in Fig. 1, Sheet 1 of 9, a SDH/SONET network item 20 that employs OSI connections and employs an OSI stack like that shown as item OSI STACK 32, Fig. 3, Sheet 3 of 9 (substantively the same as “supports an Open System Interconnection (OSI) stack and not the IP stack” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the network element of Houston into the network of Parry and Heuer since Houston provides tunneling between SDH and IP networks, allowing the network of Parry to accept data from outside IP networks.

**Claims 10 and 20** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Doidge et al. (Patent Number: 6,064,674), hereafter referred to as Doidge.

**As for Claim 10**, Parry in view of Gavrilovich and Heuer as applied to Claim 8 teach all those limitations.

Parry fails to teach incompatible applications of OSI stacks.

Doidge shows in FIG. 2, Sheet 2 of 12, a LAN switch (item 20) connected to a network of ATM devices, where, in lines 65-67, column 7, “incompatible OSI layers 2

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and 3 protocols of the LAN frames and the ATM network” (substantively the same as “the applications of the OSI stacks between the first and second gateway network elements are incompatible” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the apparatus of Doidge into the network of Parry and Heuer since the apparatus allows the converting of different protocols efficiently through hardware and without requiring intervention by a microprocessor, allowing the network to efficiently accept data from protocols other than its own.

**As for Claim 20**, Parry in view of Gavrilovich and Heuer as applied to Claims 8 and 12 teach all those limitations.

Parry fails to teach incompatible applications of the OSI stack.

Doidge shows in FIG. 2, Sheet 2 of 12, a LAN switch (item 20) connected to a network of ATM devices, where, in lines 65-67, column 7, “incompatible OSI layers 2 and 3 protocols of the LAN frames and the ATM network” (substantively the same as “... employs a different, incompatible application of the OSI stack than an application of the OSI stack supported by the ...” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the apparatus of Doidge into the network of Parry and Heuer since the apparatus allows the converting of different protocols efficiently through hardware and without requiring intervention by a microprocessor, allowing the network to efficiently accept data from protocols other than its own.

**Claims 11, 21, 29 and 36** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Nakatsugawa (Patent No.: US 6,747,982 B2), hereafter referred to as Nakatsugawa.

**As for Claims 11, 21, 29 and 36**, Parry as applied to Claims 1, 12, 22 and 30 teaches all those limitations.

Parry fails to teach an interface to the central switching to locally drop traffic from a tributary on a synchronous data transmission ring terminated on a first gateway network element.

Nakatsugawa shows in lines 2-9, FIG. 4, Sheet 4 of 6, that a single gateway (see item 11, FIG. 1, Sheet 1 of 6) can have two separate function blocks (see items 63 and 65, FIG. 4, Sheet 4 of 6), and when function block item 63 receives data from its respective LAN (see item 3, FIG. 4) that does not have a destination on its respective LAN, it sends the data through route 23 within the gateway to function block item 64 for processing (substantively the same as “an interface to interconnect with the central switching core to locally drop traffic from a tributary on a synchronous data transmission ring terminated on the first gateway network element” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to adopt the method of Nakatsugawa into the network of Parry since Nakatsugawa provides an efficient routing method and gateway for a loop network.

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**Claims 27, 28, 34 and 35** are rejected under 35 U.S.C. 103(a) as being unpatentable over Parry in view of Gavrilovich, Heuer, and further in view of Hunneyball (Pub. No.: US 2004/0136389 A1), hereafter referred to as Hunneyball.

**As for Claims 27 and 34**, Parry in view of Gavrilovich and Heuer as applied to Claims 8 and 33 teach all those limitations.

Parry fails to teach mutually incompatible IP and OSI management channels running over DCC.

Hunneyball teaches in the abstract of OSI protocols running over a network of embedded Data Communications Channels and of MPLS/IP protocols running over a network of embedded Data Communication Channels (substantively the same as “management channels include Internet Protocol (IP) over Data Communication Channel (DCC) and Open System Interconnection (OSI) over DCC” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine aspects of the network of Hunneyball into the network of Parry and Heuer since Hunneyball provides a management system that allows MPLS enabled network devices to be managed on SDH ring networks.

**As for Claims 28 and 35**, Parry in view of Gavrilovich and Heuer as applied to Claims 26 and 33 teach all those limitations.

Parry fails to teach mutually incompatible IP and OSI management channels running over DCC.

Hunneyball teaches in the abstract of OSI protocols running over a network of embedded Data Communications Channels and of MPLS/IP protocols running over a network of embedded Data Communication Channels (substantively the same as “management channels include Internet Protocol (IP) over Data Communication Channel (DCC) and Open System Interconnection (OSI) over DCC” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine aspects of the network of Hunneyball into the network of Parry and Heuer since Hunneyball provides a management system that allows MPLS enabled network devices to be managed on SDH ring networks.

### ***Response to Arguments***

Applicant's arguments filed 05/26/2009 have been fully considered but they are not persuasive. Applicant submits that Heuer's method does not teach or even suggest a "management element that natively communicates with the first and second gateway network elements and the central management system in their respective different protocols" - but rather expressly requires that the SONET signals are converted. Examiner respectfully disagrees this is sufficient for the withdrawal of the rejection of the claims. As discussed in the rejection of Claim 1, Parry already teaches “a management element to ... communicate with the first and second gateway network elements and the central management system” and “a central management system to provide management signals to ... the synchronous data transmission rings”, and combining the STM-1 link and the simulation of the multiplex level that is missing in SONET in

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comparison with the SDH multiplex hierarchy of Heuer with the Master Multiplexer and the Dormant Master Multiplexer of Parry can allow the management system of Parry to communicate with each ring in its native protocol.

### ***Conclusion***

3. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSHUA SMITH whose telephone number is (571)270-1826. The examiner can normally be reached on Monday-Friday, 10:30am-7pm, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chirag Shah can be reached on (571)272-3144. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Joshua Smith  
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28 September 2009

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